
GENERAL INFORMATION

8. LIMITATIONS OF SERVICE CLASSIFICATIONS (Continued)

8.3 STANDBY AND BUY-BACK SERVICES (Continued)

A customer who operates a Qualifying Facility or a Qualifying Small Power Production Facility as defined under Part 292 of Title 18 of the Code of Federal Regulation and who desires to sell electrical energy or capacity to the Company may do so under Service Classification No. 15 of this Rate Schedule, or may contract with the Company for such service prior to providing such service. Said contract shall be subject to Commission review and approval.

8.4 EMERGENCY GENERATING FACILITIES

The use of emergency generating equipment will be permitted to affected customers for the duration of an interruption of the Company's service, or a Company announced voltage reduction, or for necessary testing purposes, or when generating equipment is operated at the direction of the NYISO under NYISO Installed Capacity procedures for Special Case Resources, or when used as a load reduction measure under Riders E, F, and L, provided that the customer's wiring and switching equipment are so arranged as to prevent feedback into the Company's lines or parallel operation of the emergency generator with the Company's electrical system, except for closed-transition transfer switching where the term "closed-transition transfer" is characterized as a momentary make-before-break switching sequence. Before such emergency equipment is installed, the customer shall submit to the Company for its approval:

- (A) a wiring diagram showing how the emergency generator would be connected to the building wiring, including the switching arrangements to prevent parallel operation, except as permitted above; and
- (B) a statement in writing signed by the customer to the effect that the emergency generating facilities will be used only under the circumstances specified above. Customers using emergency generating equipment under other than the above specified circumstances will be required to take service under Service Classification No. 25.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS

RIDER D

DIRECT LOAD CONTROL PROGRAM

APPLICABILITY

Any customer who is qualified to take service under Service Classification Nos. 1, 2, 3, 9, 19, 20, 21, 22, or an eligible customer taking service under Service Classification No. 25 that meets the requirements of this Rider.

DEFINITIONS

Control Device is a device installed on the customer's load controllable equipment via a smart plug or embedded control that allows the Company to remotely control the equipment when an Event is called. For purposes of this Rider, Control Device means one or more devices as may be required to control the equipment. Each Control Device contains a feature that allows the customer to override the Company's control of the customer's equipment. The Control Device must be provided, installed, and connected to the internet by the Company, or it must be installed and connected to the internet by a customer who enrolled in the program through a Service Provider.

Event may be declared by the Company when: (a) the NYISO declares an emergency in conjunction with an in-day peak hour forecast response to an operating reserve peak forecast shortage, or in response to a major state of emergency as defined in Section 3.2 of the NYISO Emergency Operations Manual, or at the NYISO's discretion to relieve system or zonal emergencies; (b) the Company's distribution control center declares an emergency, or a voltage reduction of five percent or greater has been ordered; or (c) the Company determines that a system-wide peak or designated area peak may occur.

Service Provider means a provider registered with the Company to develop, maintain, and operate a communications portal that enables internet-connected Control Devices to participate under this Rider. A list of current Service Providers is available on the Company's website.

ELIGIBILITY

To participate under this Rider, a customer must have load controllable equipment and agree to the installation of a Control Device.

DESIGNATED AREAS OF PARTICIPATION

This program will be offered to eligible customers in specified geographic locations within the Company's service territory, as designated on the Company's website, or to eligible customers designated by the Company.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER D (Continued)

DIRECT LOAD CONTROL PROGRAM

APPLICATIONS

Applications to participate under this Rider may be made throughout the year either electronically or in writing.

DIRECT INSTALL OPTION

Customers will receive a Control Device from the Company at no cost. The Control Device will become the customer's property upon installation.

BRING YOUR OWN THERMOSTAT (BYOT) OPTION

Customers who enroll a Control Device in the program through a Service Provider will receive a sign-up payment of \$85, either by check, gift card, or credit card refund at the Company's discretion, after the Company has confirmed the Company's ability to communicate with the Control Device.

Starting with the second Summer Period (defined hereunder as May 1 through September 30) in which the customer participates, the customer will be eligible for an annual incentive payment of \$25, payable by check or gift card at the Company's discretion, after each Summer Period in which the Company can verify that the customer allowed the Company to control the Control Device for no less than 80 percent of the aggregate number of Event hours declared by the Company during that Summer Period.

RESTRICTIONS

This program is available to participants in Rider L, but not to customers who participate, either directly or indirectly through a third party or under any other Company or NYISO demand-response program (e.g., the NYISO Special Case Resources Program or the Company's Rider E and Rider F).

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E

COMMERCIAL SYSTEM RELIEF PROGRAM

APPLICABILITY

Any customer who is qualified to take service under Service Classification Nos. 1, 2, 3, 9, 15, 19, 20, 21, 22, or an eligible customer taking service under Service Classification No. 25; and to any Aggregator that contracts to provide Load Relief of at least 50 kW during the Capability Period and meets the requirements of this Rider. Service under this Rider is not available to participants in Rider D.

DEFINITIONS

The following terms are defined for purposes of this Rider only:

Aggregator refers to a party other than the Company that represents and aggregates the load of customers who collectively have a Load Relief potential of 50 kW or greater and is responsible for the actions of the customers it represents, including performance and, as applicable, repayments to the Company.

Capability Period under this Rider refers to the period during which the Company can request Load Relief. The Capability Period shall be from May 1 through September 30.

CBL means the customer baseline load as calculated under the Company's Customer Baseline Load methodology, using either the weather-sensitive adjustment option (the "weather adjusted CBL") or the average-day CBL. The Customer Baseline Load methodology is described in the Company's baseline operating procedure, which is published on the Company's website. The Company will advise Aggregators and Commission Staff of any potential changes to the methodology by December 1 of each year and, if the Company proposes any changes, hold a meeting with concerned parties to obtain feedback about those changes by January 1 of each year.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

DEFINITIONS (Continued)

CBL Verification Methodology means the methodology used by the Company to verify the actual Load Relief provided (kW and kWh) during each hour of each designated Load Relief Period and Test Event. Actual load levels are compared to the customer baseline loads to verify whether the Direct Participant or Aggregator provided the kW of contracted Load Relief; provided, however, that the Company may estimate the data pursuant to the Company's operating procedure if data is not available for all intervals. When the weather-adjusted CBL methodology is used and the calculated weather adjustment falls outside of Company defined ranges (i.e., the Company deems the weather to be atypical on the day of a Load Relief Period or Test Event when compared to the baseline period), the Company may review and revise a participant's baseline based on the customer's historical load data. When the weather-adjusted CBL methodology is used, the Company, at its own discretion, may select alternate hours for the adjustment period to calculate the weather adjustment factor in order to accurately reflect the customer's typical usage.

Contracted Hours refers to the four-hour period within a weekday, Monday through Friday during the Capability Period excluding federal holidays, during which the Direct Participant or Aggregator contracts to provide Load Relief whenever the Company designates a Planned Event.

Direct Participant refers to a customer who enrolls under this Rider directly with the Company for a single customer account and agrees to provide at least 50 kW of Load Relief.

Electric Generating Equipment refers to: (a) electric generating equipment at the premises of a customer served under SC No. 15 or SC No. 25 and used to provide Load Relief under this Rider; or (b) emergency electric generating equipment that is interconnected and operated in compliance with General Information Section No. 8.4 and used to provide Load Relief under this Rider.

Load Relief refers to power (kW) and energy (kWh): (a) ordinarily supplied by the Company that is displaced by use of Electric Generating Equipment and/or reduced by the Direct Participant or Aggregator at the customer's premises; or (b) produced by use of Electric Generating Equipment by an SC No. 15 customer and delivered by that customer to the Company's distribution system during a Load Relief Period.

Load Relief Period refers to the hours for which the Company requests Load Relief when it designates a Planned Event or Unplanned Event.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

DEFINITIONS (Continued)

Performance Factor when a Planned Event or Test Event is called, is the ratio of: (a) the average hourly kW of Load Relief provided by the Direct Participant or Aggregator during the requested hours, up to the kW of contracted Load Relief, and (b) the kW of contracted Load Relief.

Planned Event refers to the Company's request, on not less than 21 hours' advance notice, for Load Relief during the Contracted Hours. Planned Events will be called when the Company's day-ahead forecasted load level is at least 92 percent of the forecasted summer system-wide peak. Day-ahead and summer peak forecast information for the system will be posted to the Company's website.

Test Event refers to the Company's request under the Reservation Payment Option that Direct Participants and Aggregators provide one hour of Load Relief, within the four-hour span of Contracted Hours, and on not less than 21 hours' advance notice for customers participating under this Rider.

Unplanned Event refers to the Company's request for Load Relief: (a) on less than 21 hours' advance notice; or (b) for hours outside of the Contracted Hours.

CONTRACTING FOR COMMERCIAL SYSTEM RELIEF PROGRAM SERVICE

There are two options under this Rider through which a Direct Participant or Aggregator may contract to provide Load Relief during Load Relief Periods designated by the Company: the Voluntary Participation Option and the Reservation Payment Option. This Rider is applicable to Direct Participants and Aggregators who agree in writing to provide Load Relief, under either the Voluntary Participation or Reservation Payment Option, during all Contracted Hours whenever the Company designates Planned Events during the Capability Period. Direct Participants and Aggregators may also agree to voluntarily provide Load Relief if an Unplanned Event is called. Participants under the Reservation Payment Option must provide Load Relief if and when the Company calls one or more Test Events, each for a period not to exceed one hour. The Company reserves the right to designate targeted areas requiring Load Relief and may offer a higher Reservation Payment Rate for targeted areas as specified in the Reservation Payment Option section. All targeted areas will be posted on the Company's website.

A Direct Participant or Aggregator must contract to provide at least 50 kW of Load Relief. A Direct Participant may contract to provide Load Relief under the Voluntary Participation Option or the Reservation Payment Option. An Aggregator may contract to provide Load Relief under the Voluntary Participation Option and/or Reservation Payment Option as long as a total of 50 kW is provided.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

CONTRACTING FOR COMMERCIAL SYSTEM RELIEF PROGRAM SERVICE (Continued)

If other requirements for service under this Rider are met, Electric Generating Equipment may be used to participate under this Rider subject to the provisions set forth in the Applications and Terms of Service section below. The participating Direct Participant or Aggregator is responsible for determining that the operation of the generating equipment under this Rider will be in conformance with any governmental limitations on operation.

APPLICATIONS AND TERMS OF SERVICE

- (A) Applications for service under this Rider must be made electronically with the Company. The desired commencement month must be specified in the application. Direct Participants and Aggregators may participate after the Company's receipt and approval of a completed application. For the Summer of 2016 only, the Company will accept completed applications by May 25 for a June 1 commencement date provided that the customer has already installed interval metering and associated communications at the time of application and by June 15 for a July 1 commencement date provided that the customer has interval metering installed by June 15 and has meter communications service in place by June 30. Thereafter, the Company will accept completed applications by April 1 for a May 1 commencement date, and by May 1 for a June 1 commencement date. However, if the Company does not bill the participant monthly using interval metering at the time of the application, participation in the Reservation Payment Option will not commence unless both interval metering and meter communications are operational. If the Company receives a completed application by April 1, service can commence May 1 if interval metering is installed by April 1 and meter communications are operational by April 30. If the Company receives a completed application by May 1, service can commence on June 1 if interval metering is installed by May 2 and meter communications are operational by May 31. If the application is received by May 1, but the above deadlines for installation of interval metering and meter communications are not met, service will commence on July 1, provided the interval metering is installed by June 1 and meter communications are operational by June 30. Applications will not be accepted under the Reservation Payment Option after the specified date for participation during the current Capability Period. When the first of the month falls on a weekend or holiday, applications will be accepted until the first business day after the first of the month.

The Company will accept applications for participation in the Voluntary Participation Option of this Rider at any time provided the conditions described in the Metering section are met.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

APPLICATIONS AND TERMS OF SERVICE (Continued)

- (B) A Direct Participant or Aggregator may apply in writing to change the CBL Verification Methodology, to change the kW of pledged Load Relief, or to terminate service under this Rider for the upcoming Capability Period provided the request is received prior to commencing participation for that Capability Period. In order for a Direct Participant or Aggregator to increase its kW of contracted Load Relief for its existing customer(s) for the upcoming Capability Period, the Direct Participant's or Aggregator's most recent monthly average Performance Factor must be no less than 1.00.

An Aggregator may increase its kW of pledged Load Relief during a Capability Period only if it enrolls customers whose Aggregator either exited the program or is suspended from enrollment in the program for noncompliance with Aggregator eligibility requirements or the Company's operating procedures. In such case, the Aggregator may increase its kW of pledged Load Relief up to the amount of the transferred Customers' existing kW of pledged Load Relief.

- (C) Each application must state the kW of Load Relief that the Direct Participant or Aggregator contracts to provide for the Contracted Hours required for the Load Relief Period. Load Relief of an Aggregator will be measured on a portfolio basis. The weather-adjusted CBL will be used as the CBL Verification Methodology for each customer account number enrolled, unless the application specifies that the average-day CBL is to be used for verification of performance. A single CBL Verification Methodology will be used for each customer to assess both energy (kWh) and demand (kW) Load Relief.
- (D) Participation by diesel-fired Electric Generating Equipment will be permitted only if the engine for the equipment is model year 2000 or newer. Participation by diesel-fired Electric Generating Equipment will be limited to 20 percent of the total kW enrolled under this Rider for the Capability Period. Enrollment by such generators will be accepted on a first come, first served basis. No limit or cap will be placed on the following: natural gas-fired rich burn Electric Generating Equipment that incorporates three-way catalyst emission controls; natural gas lean-burn Electric Generating Equipment with an engine of model year vintage 2000 or newer; or Electric Generating Equipment that has a NOx emissions level of no more than 2.96 lb/MWh.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

APPLICATIONS AND TERMS OF SERVICE (Continued)

- (E) If a Direct Participant or Aggregator requests to operate Electric Generating Equipment for Load Relief purposes under this Rider, the application must state generator information, including the unit's serial number, nameplate rating, manufacturer, date of manufacture, fuel type or energy source, the kW enrolled using this equipment, and identification as to whether the unit incorporates three-way catalyst emission controls (natural gas-fired rich burn), a natural gas lean-burn engine of model year vintage 2000 or newer, or a diesel-fired engine of model year vintage 2000 or newer, or whether it has a NOx emission level of no more than 2.96 lb/MWh. If the generating equipment has a NOx emission level of no more than 2.96 lb/MWh, but is not natural gas-fired rich burn generating equipment that incorporates three-way catalyst emission controls, a natural gas lean-burn engine of model year vintage 2000 or newer, or a diesel-fired engine of model year vintage 2000 or newer, written certification by a professional engineer must be attached to the application attesting to the accuracy of all generation-related information contained in the application, including the NOx emission level. Copies of all New York State Department of Environmental Conservation ("DEC") permits must be included with the application. By applying for service under this Rider, Direct Participants and Aggregators (on behalf of their customers) agree to permit the Company to provide information regarding the Electric Generating Equipment to the DEC for its review, subject to the DEC's agreement to keep this information confidential. Furthermore, participants enrolled in a NYISO market-based program offered by the Company, NYPA or other entity, must provide the Company with their NYISO generator identification number, under a confidentiality agreement, and give the Company the ability to view their market participation activity. This information will be used to verify the times of participation in these other programs to prevent double-payment during concurrent events.

NOTIFICATION BY THE COMPANY AND REQUIRED RESPONSE

- (A) The Company will notify Direct Participants and Aggregators by phone, e-mail, or machine-readable electronic signal, or a combination thereof, in advance of the commencement of a Load Relief Period or Test Event. The Direct Participant or Aggregator shall designate in writing an authorized representative and an alternate representative, and include an electronic address if applicable, to receive the notice. If an Aggregator is served under this Rider, only the Aggregator will be notified of the Load Relief Period or Test Event. The Aggregator is responsible for notifying all of the customers within its respective aggregation group.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

NOTIFICATION BY THE COMPANY AND REQUIRED RESPONSE (Continued)

- (B) If the Company designates a Planned Event or a Test Event, the Company will provide notice at least 21 hours in advance of the event. The Company will again provide advance notice on the day of the event, usually two or more hours in advance.
- (C) If the Company designates an Unplanned Event, notice will be given as soon as practicable. Participants are requested to provide Load Relief as soon as they are able.

METERING

- (A) Participation under this Rider requires that each participant's entire service be measured by interval metering used by the Company for monthly billing. If an Aggregator takes service under this Rider, all customers of the Aggregator must meet the metering requirements specified hereunder.
- (B) If, at the time of application for service under this Rider, the Company does not bill the participant monthly using interval metering, the customer shall arrange for the furnishing and installation of interval metering to be used for billing, at the participant's expense, net of any discount or rebate received by the participant. The Company will issue an invoice within 14 business days of its receipt of a completed request for an interval meter. The Company will not be required to meet the 14 business-day timeframe if there are reasons outside of the Company's control, such as a major storm or denial of access to the meter.
- (C) The Company will install interval metering, pending equipment availability, within 21 business days of the later of the Company's receipt of an applicant's payment for an upgrade to interval metering. If the Company misses the installation time frame for the Reservation Payment Option, it will make a "Lost Reservation Payment" to the Direct Participant or Aggregator, unless the meter delay was caused by a reason outside the Company's control if the Commission grants the Company an exception due to a condition such as a major outage or storm. A Lost Reservation Payment will be calculated by determining the number of months between the earliest month in which the customer could have begun participation had the meter been installed within the required timeframe (assuming the Company's acceptance of a completed application and receipt of payment for the meter upgrade) and the first month following the completed installation, and multiplying that number by the pledged kW and associated per-kW Reservation Payment Rate.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

ADMINISTRATIVE REVIEW

The Company reserves the right to review records and/or operations of any Direct Participant, Aggregator, or customer of an Aggregator to verify enrollment information and performance associated with any designated Load Relief Period or Test Event called by the Company. Once the Company initiates an administrative review, all payments will be suspended pending the outcome of the review. The Company will complete its review within 30 days of receipt of all requested information, but no later than December 31 of the calendar year of the Capability Period under review. Any suspended payments will be reinstated if the Company's review of the information results in a finding that the enrollment and performance information are correct. If the Company determines that a Direct Participant, Aggregator, or customer of an Aggregator failed to cooperate fully and promptly with the review and/or did not fully comply with the provisions of this Rider and/or provided inaccurate information, the Direct Participant, the Aggregator, or the customer of the Aggregator will be deemed ineligible to participate in the program until the issue is rectified. In addition, the Direct Participant or Aggregator will be required to make prompt repayment to the Company of any overpayments that were made to such Direct Participant or Aggregator, on behalf of its customer, for the Capability Period that was reviewed as well as the current Capability Period, if different.

VOLUNTARY PARTICIPATION OPTION

Except as specified in the Reservation Payment Option and Restrictions on Performance Payments sections of this Rider, the Company will make Performance Payments to a Direct Participant or Aggregator participating in the Voluntary Participation Option for Load Relief provided during a designated Load Relief Period. The Performance Payment is equal to the applicable Performance Payment Rate multiplied by the average hourly kWh of Load Relief provided by the Direct Participant or Aggregator during the Load Relief Period multiplied by the number of event hours.

The Performance Payment Rate is equal to \$1.00 per kWh for Load Relief provided during a Planned Event and \$1.50 per kWh for Load Relief provided during an Unplanned Event.

The Company will make payments to a Direct Participant or Aggregator after the end of the Capability Period, but no later than the end of the calendar year, for the sum of payments due for all Load Relief Periods in the Capability Period. Payments will be made by bill credit, check or wire transfer.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVATION PAYMENT OPTION

(A) Reservation Payments

Direct Participants and Aggregators will receive a Reservation Payment for each month in which they are enrolled during a Capability Period. The Reservation Payment per month is equal to the applicable Reservation Payment Rate per kW per month multiplied by the Direct Participant's or Aggregator's kW of contracted Load Relief multiplied by the Performance Factor for the month. The Reservation Payment Rate per kW is based on the number of cumulative Planned Events for which Load Relief was requested of the Direct Participant or Aggregator from the start of the current Capability Period through the last day of the month.

(B) Performance Payments

The Company will make Performance Payments, as applicable, to a Direct Participant or Aggregator, except as specified in the Restrictions on Performance Payments section. The Performance Payment is equal to the applicable Performance Payment Rate multiplied by the average hourly kWh of Load Relief provided by the Direct Participant or Aggregator during the event multiplied by the number of event hours. Performance Payments for Test Events are made for one hour of Load Relief achieved up to the contracted amount.

(C) Application of Payments

Payments for each month will be made by bill credit, check, or wire transfer as determined by the Company.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVATION PAYMENT OPTION (Continued)

(D) Payment Rates

(1) Reservation Payment Rates

Payment Rates in all areas are \$3.00 per kW per month if Load Relief was requested for four or fewer cumulative Planned Events and \$4.00 per kW per month if Load Relief was requested for five or more cumulative Planned Events.

(2) Performance Payment Rates

Payment Rates in all areas are \$0.50 per kWh if Load Relief was provided during a Planned Event or Test Event and \$1.00 per kWh if Load Relief was provided during an Unplanned Event.

(E) Performance Factor

Each Direct Participant or Aggregator has a Performance Factor for its portfolio enrolled under the Reservation Payment Option. When more than one Planned Event and/or Test Event is called during the month, the average of the Performance Factors of all events for a Direct Participant or Aggregator is the Performance Factor for that month. The Performance Factor for the month is used to calculate Reservation Payments for that month and each month thereafter until the month in which the next Load Relief Period or Test Event is called by the Company during the current or subsequent year's Capability Period. The Performance Factor is rounded to two decimal places and has an upper limit of 1.00 and a lower limit of 0.00.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVATION PAYMENT OPTION (Continued)

(E) Performance Factor (Continued)

If, during the prior Capability Period, an Aggregator did not participate in the program or if a Direct Participant either did not participate in the program or participated in the program through an Aggregator, the Performance Factor will be set to 0.5 in the current Capability Period and will remain at that level until the first month in which a Load Relief Period or Test Event is called. The Performance Factor determined for that month will be applied retroactively, starting with the enrollment month, to true-up the Reservation Payments for the prior month(s).

An Aggregator's Performance Factor will carry forward to the start of the next Capability Period even if there is a change in the Aggregator's portfolio. For Aggregators that enrolled participants in 2015, the Aggregator's Performance Factor at the start of the 2016 Capability Period will be based on the cumulative performance and cumulative contracted Load Relief of its entire portfolio enrolled in the Reservation Payment Option during the 2015 Capability Period.

RESTRICTIONS ON PERFORMANCE PAYMENTS

Performance Payments will not be made under Rider F for customer accounts participating in this Rider during concurrent Load Relief hours. Performance Payments will not be made under this Rider if the Direct Participant or Aggregator (on behalf of its customer) receives payment for energy under Rider K or L or any other demand response program (e.g., NYISO's Day-ahead Demand Reduction Program or NYISO's Special Case Resources Program) in which the customer is enrolled through the Company during concurrent Load Relief hours. If an SC 15 customer participates in the NYISO market through the Company and receives payment for energy during concurrent Load Relief hours, Performance Payments will be made under this Rider only for Load Relief in excess of the customer's CBL, expressed in kWh.

Performance Payments will not be made under this Rider if service is taken under Rider N.

P.S.C. NO. 3 ELECTRICITY
ORANGE AND ROCKLAND UTILITIES, INC.

INITIAL EFFECTIVE DATE: June 1, 2016

Issued in compliance with Order in Cases 14-E-0423 and 15-E-0191 dated 6/18/2015.

LEAF: 156.11

REVISION: 1

SUPERSEDING REVISION: 0

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVED FOR FUTURE USE

P.S.C. NO. 3 ELECTRICITY
ORANGE AND ROCKLAND UTILITIES, INC.

INITIAL EFFECTIVE DATE: June 1, 2016

Issued in compliance with Order in Cases 14-E-0423 and 15-E-0191 dated 6/18/2015.

LEAF: 156.12

REVISION: 1

SUPERSEDING REVISION: 0

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVED FOR FUTURE USE

P.S.C. NO. 3 ELECTRICITY
ORANGE AND ROCKLAND UTILITIES, INC.

INITIAL EFFECTIVE DATE: June 1, 2016

Issued in compliance with Order in Cases 14-E-0423 and 15-E-0191 dated 6/18/2015.

LEAF: 156.13

REVISION: 1

SUPERSEDING REVISION: 0

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER E (Continued)

COMMERCIAL SYSTEM RELIEF PROGRAM

RESERVED FOR FUTURE USE

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F

DISTRIBUTION LOAD RELIEF PROGRAM

APPLICABILITY

Any customer who is qualified to take service under Service Classification Nos. 1, 2, 3, 9, 15, 19, 20, 21, 22, or an eligible customer taking service under Service Classification No. 25; and to any Aggregator that contracts to provide Load Relief of at least 50 kW during the Capability Period and meets the requirements of this Rider. Service under this Rider is not available to participants in Rider D.

DEFINITIONS

The following terms are defined for purposes of this Rider only:

Aggregator refers to a party other than the Company that represents and aggregates the load of customers who collectively have a Load Relief potential of 50 kW or greater and is responsible for the actions of the customers it represents, including performance and, as applicable, repayments to the Company.

Capability Period under this Rider refers to the period during which the Company can request Load Relief. The Capability Period shall be from May 1 through September 30.

CBL means the customer baseline load as calculated under the Company's Customer Baseline Load methodology, using either the weather-sensitive adjustment option (the "weather adjusted CBL") or the average-day CBL. The Customer Baseline Load methodology is described in the Company's baseline operating procedure, which is published on the Company's website. The Company will advise Aggregators and Commission Staff of any potential changes to the methodology by December 1 of each year and, if the Company proposes any changes, hold a meeting with concerned parties to obtain feedback about those changes by January 1 of each year.

Contingency Event refers to a Load Relief Period lasting four or more hours for which the Company provides two or more hours' advance notice.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

DEFINITIONS (Continued)

CBL Verification Methodology means the methodology used by the Company to verify the actual Load Relief provided (kW and kWh) during each hour of each designated Load Relief Period and Test Event. Actual load levels are compared to the customer baseline loads to verify whether the Direct Participant or Aggregator provided the kW of contracted Load Relief; provided, however, that the Company may estimate the data pursuant to the Company's operating procedure if data is not available for all intervals. When the weather-adjusted CBL methodology is used and the calculated weather adjustment falls outside of Company defined ranges (i.e., the Company deems the weather to be atypical on the day of a Load Relief Period or Test Event when compared to the baseline period), the Company may review and revise a participant's baseline based on the customer's historical load data. When the weather-adjusted CBL methodology is used, the Company, at its own discretion, may select alternate hours for the adjustment period to calculate the weather adjustment factor in order to accurately reflect the customer's typical usage.

Direct Participant refers to a customer who enrolls under this program directly with the Company for a single customer account and agrees to provide at least 50 kW of Load Relief.

Electric Generating Equipment refers to: (a) electric generating equipment at the premises of a customer served under SC No. 15 or SC No. 25 and used to provide Load Relief under this Rider; or (b) emergency electric generating equipment that is interconnected and operated in compliance with General Information Section No. 8.4 and used to provide Load Relief under this Rider.

Immediate Event refers to a Load Relief Period lasting six or more hours for which the Company provides less than two hours' advance notice.

Load Relief refers to power (kW) and energy (kWh): (a) ordinarily supplied by the Company that is displaced by use of Electric Generating Equipment and/or reduced by the Direct Participant or Aggregator at the customer's premises; or (b) produced by use of Electric Generating Equipment by an SC No. 15 customer and delivered by that customer to the Company's distribution system during a Load Relief Period.

Load Relief Period refers to the hours for which the Company requests Load Relief during a Contingency Event or Immediate Event. Load Relief will not be required of a Direct Participant or Aggregator after 12:00 AM or before 6:00 AM. A Load Relief Period may be designated under this Rider in specific feeders or geographic areas if the Company's distribution control center declares an emergency or if a voltage reduction of five percent or greater has been ordered.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

DEFINITIONS (Continued)

Performance Factor when a Contingency Event is called, is the ratio of: (a) the average hourly kW of Load Relief provided during the first four hours of the Load Relief Period up to the kW of contracted Load Relief, and (b) the kW of contracted Load Relief. When an Immediate Event is called, is the ratio of: (a) the average hourly kW of Load Relief provided during the highest consecutive four hours during the first six hours of the Load Relief Period up to the kW of contracted Load Relief, and (b) the kW of contracted Load Relief.

Tier 1 Areas refers to specific feeders or geographical areas that the Company does not identify as Tier 2 Areas.

Tier 2 Areas refers to specific feeders or geographic areas that the Company identifies on its website as being of higher priority for Load Relief than Tier 1 Areas.

Test Event refers to the Company's request under the Reservation Payment Option that Direct Participants and Aggregators provide one hour of Load Relief on not less than two hours' advance notice for customers participating under this Rider.

CONTRACTING FOR DISTRIBUTION LOAD RELIEF PROGRAM SERVICE

There are two options under this Rider through which a Direct Participant or Aggregator may contract to provide Load Relief during Load Relief Periods designated by the Company: the Voluntary Participation Option and the Reservation Payment Option. This Rider is applicable to Direct Participants and Aggregators who agree in writing to provide Load Relief, either on a Voluntary Participation or Reservation Payment Option, for no less than four consecutive hours during each Contingency Event or Immediate Event designated by the Company during the Capability Period. Participants under the Reservation Payment Option must provide Load Relief if and when the Company calls one or more Test Events, each for a period not to exceed one hour.

A Direct Participant or Aggregator must contract to provide at least 50 kW of Load Relief. A Direct Participant may contract to provide Load Relief under the Voluntary Participation Option or the Reservation Payment Option. An Aggregator may contract to provide Load Relief under the Voluntary Participation Option and/or Reservation Payment Option as long as a total of 50 kW is provided.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

CONTRACTING FOR DISTRIBUTION LOAD RELIEF PROGRAM SERVICE (Continued)

If other requirements for service under this Rider are met, Electric Generating Equipment may be used to participate under this Rider subject to the provisions set forth in the Applications and Terms of Service section below. The participating Direct Participant or Aggregator is responsible for determining that the operation of the generating equipment under this Rider will be in conformance with any governmental limitations on operation.

APPLICATIONS AND TERMS OF SERVICE

- (A) Applications for service under this Rider must be made electronically with the Company. The desired commencement month must be specified in the application. Direct Participants and Aggregators may participate after the Company's receipt and approval of a completed application. For the Summer of 2016 only, the Company will accept completed applications by May 25 for a June 1 commencement date provided that the customer has already installed interval metering and associated communications at the time of application and by June 15 for a July 1 commencement date provided that the customer has interval metering installed by June 15 and has meter communications service in place by June 30. Thereafter, the Company will accept completed applications by April 1 for a May 1 commencement date, and by May 1 for a June 1 commencement date. However, if the Company does not bill the participant monthly using interval metering at the time of the application, participation in the Reservation Payment Option will not commence unless both interval metering and meter communications are operational. If the Company receives a completed application by April 1, service can commence May 1 if interval metering is installed by April 1 and meter communications are operation by April 30. If the Company receives a completed application by May 1, service can commence on June 1 if interval metering is installed by May 2 and meter communications are operational by May 31. If the application is received by May 1, but the above deadlines for installation of interval metering and meter communications are not met, service will commence on July 1, provided the interval metering is installed by June 1 and meter communications are operation by June 30. Applications will not be accepted under the Reservation Payment Option after the specified date for participation during the current Capability Period. When the first of the month falls on a weekend or holiday, applications will be accepted until the first business day after the first of the month.

The Company will accept applications for participation in the Voluntary Participation Option of this Rider at any time provided the conditions described in the Metering section are met.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

APPLICATIONS AND TERMS OF SERVICE (Continued)

- (B) A Direct Participant or Aggregator may apply in writing to change the CBL Verification Methodology, to change the kW of pledged Load Relief, or to terminate service under this Rider for the upcoming Capability Period provided the request is received prior to commencing participation for that Capability Period. In order for a Direct Participant or Aggregator to increase its kW of contracted Load Relief for its existing customer(s) for the upcoming Capability Period, the Direct Participant's or Aggregator's most recent monthly average Performance Factor must be no less than 1.00.

An Aggregator may increase its kW of pledged Load Relief during a Capability Period only if it enrolls customers whose Aggregator either exited the program or is suspended from enrollment in the program for noncompliance with Aggregator eligibility requirements or the Company's operating procedures. In such case, the Aggregator may increase its kW of pledged Load Relief up to the amount of the transferred Customers' exiting kW of pledge Load Relief.

- (C) Each application must state the kW of Load Relief that the Direct Participant or Aggregator contracts to provide for the Load Relief Period. Load Relief of an Aggregator will be measured on a portfolio basis. The weather-adjusted CBL will be used as the CBL Verification Methodology for each customer account number enrolled, unless the application specifies that the average-day CBL is to be used for verification of performance. A single CBL Verification Methodology will be used for each customer to assess both energy (kWh) and demand (kW) Load Relief.
- (D) If a Direct Participant or Aggregator requests to operate Electric Generating Equipment for Load Relief purposes under this Rider, the application must state generator information, including the unit's serial number, nameplate rating, manufacturer, date of manufacture, fuel type or energy source, the kW of enrolled using this equipment and that the Company has approved the interconnection of such equipment. Furthermore, participants enrolled in a NYISO market-based program offered by the Company, NYPA or other entity, must provide the Company with their NYISO generator identification number, under a confidentiality agreement, and give the Company the ability to view their market participation activity. This information will be used to verify the times of participation in these other programs to prevent double-payment during concurrent events.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

NOTIFICATION BY THE COMPANY AND REQUIRED RESPONSE

- (A) The Company will notify Direct Participants and Aggregators by phone, e-mail, or machine-readable electronic signal, or a combination thereof, in advance of the commencement of a Load Relief Period or Test Event. The Direct Participant or Aggregator shall designate in writing an authorized representative and an alternate representative, and include an electronic address if applicable, to receive the notice. If an Aggregator is served under this Rider, only the Aggregator will be notified of the Load Relief Period or Test Event. The Aggregator is responsible for notifying all of the customers within its respective aggregation group in the affected area(s).
- (B) If the Company designates a Contingency Event, the Company will provide two or more hours' advance notice.
- (C) If the Company designates an Immediate Event, the Company will provide notice as soon as practicable.

METERING

- (A) Participation under this Rider requires that each participant's entire service be measured by interval metering used by the Company for monthly billing. If an Aggregator takes service under this Rider, all customers of the Aggregator must meet the metering requirements specified hereunder.
- (B) If, at the time of application for service under this Rider, the Company does not bill the participant monthly using interval metering, the customer shall arrange for the furnishing and installation of interval metering to be used for billing at the participant's expense, net of any discount or rebate received by the participant. The Company will issue an invoice within 14 business days of its receipt of a completed request for a meter. The Company will not be required to meet the 14 business-day timeframe if there are reasons outside of the Company's control, such as a major storm or denial of access to the meter.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

METERING (Continued)

- (C) The Company will install interval metering, pending equipment availability, within 21 business days of the later of the Company's receipt of an applicant's payment for an upgrade to interval metering. If the Company misses the installation time frame for the Reservation Payment Option, it will make a "Lost Reservation Payment" to the Direct Participant or Aggregator, unless the meter delay was caused by a reason outside the Company's control if the Commission grants the Company an exception due to a condition such as a major outage or storm. A Lost Reservation Payment will be calculated by determining the number of months between the earliest month in which the customer could have begun participation had the meter been installed within the required timeframe (assuming the Company's acceptance of a completed application and receipt of payment for the meter upgrade) and the first month following the completed installation, and multiplying that number by the pledged kW and associated per-kW Reservation Payment Rate.

ADMINISTRATIVE REVIEW

The Company reserves the right to review records and/or operations of any Direct Participant, Aggregator, or customer of an Aggregator to verify enrollment information and performance associated with any designated Load Relief Period or Test Event called by the Company. Once the Company initiates an information review, all payments will be suspended pending the outcome of the review. The Company will complete its review within 30 days of receipt of all requested information, but no later than December 31 of the calendar year of the Capability Period under review. Any suspended payments will be reinstated if the Company's review of the information results in a finding that the enrollment and performance information are correct.

If the Company determines that a Direct Participant, Aggregator, or customer of an Aggregator failed to cooperate fully and promptly with the review and/or did not fully comply with the provisions of this Rider and/or provided inaccurate information, the Direct Participant, the Aggregator or the customer of the Aggregator will be deemed ineligible to participate in the program until the issue is rectified. In addition, the Direct Participant or Aggregator will be required to make prompt repayment to the Company of any overpayments that were made to such Direct Participant or Aggregator, on behalf of its customer, for the Capability Period that was reviewed as well as the current Capability Period, if different.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

VOLUNTARY PARTICIPATION OPTION

Except as specified in the Reservation Payment Option and Restrictions on Performance Payments section of this Rider, the Company will make Performance Payments to a Direct Participant or Aggregator participating in the Voluntary Participation Option for Load Relief provided during a designated Load Relief Period. The Performance payment is equal to the applicable Performance Payment Rate multiplied by the average hourly kWh of Load Relief provided by the Direct Participant or Aggregator during the Load Relief Period multiplied by the number of event hours.

The Performance Payment Rate is \$1.00 per kWh for Load Relief provided during a Contingency Event or Immediate Event.

The Company will make payments to a Direct Participant or Aggregator, after the end of the Capability Period, but no later than the end of the calendar year for the sum of payments due for all Load Relief Periods in the Capability Period. Payments will be made by bill credit, check or wire transfer.

RESERVATION PAYMENT OPTION

(A) Reservation Payments

Direct Participants and Aggregators will receive a Reservation Payment for each month in which they are enrolled during a Capability Period. The Reservation Payment per month is equal to the applicable Reservation Payment Rate per kW per month multiplied by the Direct Participant's or Aggregator's kW of contracted Load Relief multiplied by Performance Factor for the month. The Reservation Payment Rate is based on the number of cumulative Contingency Events and Immediate Events for which Load Relief was requested of the Direct Participant or Aggregator from the start of the current Capability Period through the last day of the month.

(B) Performance Payments

The Company will make Performance Payments, as applicable, to a Direct Participant or Aggregator, except as specified in the Restrictions on Performance Payments section. The Performance Payment is equal to the applicable Performance Payment Rate multiplied by the average hourly kWh of Load Relief provided by the Direct Participant or Aggregator during the event multiplied by the number of event hours. Performance Payments for Test Events are made for one hour of Load Relief achieved up to the contracted amount.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

RESERVATION PAYMENT OPTION (Continued)

(C) Application of Payments

Payments for each month will be made by bill credit, check or wire transfer as determined by the Company.

(D) Payment Rates

(1) Reservation Payment Rates

Payment Rates in Tier 1 Areas are \$3.00 per kW if the Load Relief was requested for four or fewer Contingency Events and Immediate Events and \$4.00 per kW if Load Relief was requested for five or more Contingency Events and Immediate Events.

Payment Rates in Tier 2 Areas are \$5.00 per kW if the Load Relief was requested for four or fewer Contingency Events and Immediate Events and \$6.00 per kW if Load Relief was requested for five or more Contingency Events and Immediate Events.

(2) Performance Payment Rate

Performance rates in all areas are \$0.50 per kWh if Load Relief was provided during a Contingency Event, Immediate Event, or Test Event.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

RESERVATION PAYMENT OPTION (Continued)

(E) Performance Factor

Each Direct Participant or Aggregator has a Performance Factor for its portfolio enrolled under the Reservation Payment Option. When more than one Contingency Event, Immediate Event and/or Test Event is called during the month, the average of the Performance Factors of all events for a Direct Participant or Aggregator is the Performance Factor for that month. The Performance Factor for the month is used to calculate Reservation Payments for that month and each month thereafter until the month in which the next Load Relief Period or Test event is called by the Company during the current or subsequent year's Capability Period. The Performance Factor is rounded to two decimal places and has an upper limit of 1.00 and a lower limit of 0.00.

If, during the prior Capability Period, an Aggregator did not participate in the program or if a Direct Participant either did not participate in the program or participated in the program through an Aggregator, the Performance Factor will be set to 0.5 in the current Capability Period and will remain at that level until the first month in which a Load Relief Period or Test Event is called. The Performance Factor determined for that month will be applied retroactively, starting with the enrollment month, to true-up the Reservation Payments for the prior month(s).

An Aggregator's Performance Factor will carry forward to the start of the next Capability Period even if there is a change in the Aggregator's portfolio. For Aggregators that enrolled participants in 2015, the Aggregator's Performance Factor at the start of the 2016 Capability Period will be based on the cumulative performance and cumulative contracted Load Relief of its entire portfolio enrolled in the Reservation Payment Option during the 2015 Capability Period.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER F (Continued)

DISTRIBUTION LOAD RELIEF PROGRAM

RESTRICTIONS ON PERFORMANCE PAYMENTS

Performance Payments will not be made under Rider E for customer accounts participating in this Rider during concurrent Load Relief hours. Performance Payments will not be made under this Rider if the Direct Participants or Aggregator (on behalf of its customer) receives payment for energy under K or L or any other demand response program (e.g., NYISO's Day-ahead Demand Reduction Program or NYISO's Special Case Resources Program) in which the customer is enrolled through the Company during concurrent Load Relief hours. If an SC 15 customer participates in the NYISO market through the Company and receives payment for energy during concurrent Load Relief hours, Performance Payments will be made under this Rider only for Load Relief in excess of the customer's CBL, expressed in kWh.

Performance Payments will not be made under this Rider if service is taken under Rider N.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER K (Continued)

DAY AHEAD DEMAND REDUCTION PROGRAM

RATES AND PAYMENTS (Continued)

- (E) Rider K customers taking service under Riders E or F will be paid under this Rider for their accepted demand reduction Bid and under Rider E or F, as applicable, for load reductions in excess of the Bid, expressed in kWh, during concurrent load reductions.

RESTRICTIONS AS TO AVAILABILITY OF THIS RIDER

Service under this Rider shall not be available to customers receiving service under Rider I. Payments under this Rider shall not be provided by the Company for load reductions for which the customer received payment under another program implemented by the Company or another entity. Customers taking service under Rider B are allowed to participate for curtailment bids up to the total amount of load supplied by the Company subject to the 100 kW minimum load reduction required under this Rider.

METERING

Each customer's entire service must be measured by one or more interval meters, and customers must maintain any associated control wiring in good working order. If the customer's service is not measured by one or more interval meters, provided in connection with other Company service requirements, the customer shall arrange for the furnishing and installation of interval metering with telecommunications capability, and arrange for telecommunications service, at the customer's expense, net of any available discount or rebate for metering equipment. A customer with on-site generation will be required to provide interval metering data establishing, to the Company's reasonable satisfaction, that the generator was not used to achieve its Bid.

GENERAL INFORMATION

13. SERVICE CLASSIFICATION RIDERS (Continued)

RIDER L (Continued)

ORANGE AND ROCKLAND EMERGENCY DEMAND RESPONSE PROGRAM (OREDPR)

PRICING, RATES, AND PAYMENT

Customers will be paid a sum of at least 90 percent of that paid by the NYISO to the Company, a CSP, for that customer's load reduction. The Company's payment to the customer will be contingent on the NYISO's payment to the Company.

RIDER K COINCIDENT REDUCTIONS

Customers that have committed to load reduction under Rider K coincident with the hours of the NYISO EDRP will be paid the higher of the applicable Rider K rate or the payment made to the Company by the NYISO, pursuant to the NYISO EDRP.

Customers that did not commit to load reduction under Rider K for days and hours of a NYISO EDRP event will be notified of the opportunity to be paid at the NYISO rate for load reduction coincident with those hours.

RIDERS E AND F AND NYISO SPECIAL CASE RESOURCE COINCIDENT REDUCTIONS

Any load reductions achieved under Riders E, F, or the NYISO Special Case Resource program are ineligible for payment hereunder.