

PSC NO. 4 GAS LEAF: 94  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 6  
INITIAL EFFECTIVE DATE: June 1, 2004 SUPERSEDING REVISION: 5  
Issued in compliance with Order of the Public Service Commission dated May  
13, 2004 in Case No. 04-G-0196.

#### GENERAL INFORMATION

#### 16. SERVICE CLASSIFICATION RIDERS

##### RIDER A

Applicable to Service Classification Nos. 6 and 8

#### **GAS TRANSPORTATION SERVICE PROGRAM**

The Gas Transportation Service Program is designed to allow customers to purchase their gas supply from Qualified Sellers ("Sellers") meeting the requirements of Service Classification Nos. 11 or 13. A customer may designate only one Seller to serve an individual gas account. The operational requirements of the program are fully described in the Company's Gas Transportation Operating Procedures.

Service is provided in accordance with the provisions of this Rider and the provisions of the UBP. In the event of any conflict between the provisions of this Rider and the provisions of the UBP, the UBP shall control.

#### **CUSTOMER ELIGIBILITY**

All retail customers shall be eligible to contract with a Seller for gas supply. A customer with annual gas consumption in excess of 3,500 Mcf may participate as a Direct Customer and procure its own gas supply, solely for its own use, without a Seller. A customer may designate only one Seller to serve each gas account.

#### **CUSTOMER ENROLLMENT**

A customer may choose a Seller by directly contacting a Seller whom the Commission and the Company have determined to be eligible to serve retail customers in the Company's service territory. Customers may enroll with such Seller either by telephone or in writing. The customer may enroll with a Seller by providing its account number and the name of the customer of record who is financially responsible for the account. If this information is insufficient to verify the customer's account, the Company will inform the Seller of any additional verification information required.

#### **PROVIDER OF LAST RESORT**

In order to ensure continued access to gas supply services pursuant to consumer protection rules for residential and non-residential service to those customers for whom gas transportation service is not a viable option, who choose not to choose an alternate supplier, or who terminate their agreements with a Seller and fail to designate a substitute Seller, the Company will provide gas supply services.

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.1  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 1  
INITIAL EFFECTIVE DATE: February 19, 2004 SUPERSEDING REVISION: 0  
Issued in compliance with Orders of the Public Service Commission dated  
December 19, 2003 in Case Nos. 98-M-1343, 99-M-0631, and 03-M-0017.

**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER A** (Continued)

**CUSTOMER BILLING**

Unless otherwise agreed to by the Company and the Seller, the Company will bill a customer only for the delivery of gas supply and other services provided by the Company and the Seller will bill a customer for the gas supply and other services the Seller provides to the customer.

The Company and Sellers may, by mutual agreement, elect to offer customers a billing arrangement under which customers may receive a single bill for the services of both the Seller and the Company.

The Company's bills will be issued to customers in accordance with established billing cycles and current practices applicable to such customers.

**CUSTOMER CLOSES ACCOUNT**

If a customer utilizing a Seller to provide gas supply closes its account, the Company will send the customer a final bill according to current Company procedures. The Company will notify the customer's Seller when the customer notifies the Company that the customer is closing its account.

**DISCONTINUANCE OF SERVICE FOR NON-PAYMENT**

The Company will issue disconnect notices to customers and disconnect service in accordance with General Information Section 9. At the time of the final bill following service termination, the Company will notify the Seller that the customer is no longer receiving service.

Only the Company may physically disconnect a customer's service.

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

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INITIAL EFFECTIVE DATE: February 19, 2004 SUPERSEDING REVISION: 2  
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December 19, 2003 in Case Nos. 98-M-1343, 99-M-0631, and 03-M-0017.

**GENERAL INFORMATION**

(RESERVED FOR FUTURE USE)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.3  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 3  
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**GENERAL INFORMATION**

(RESERVED FOR FUTURE USE)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.4  
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December 19, 2003 in Case Nos. 98-M-1343, 99-M-0631, and 03-M-0017.

**GENERAL INFORMATION**

(RESERVED FOR FUTURE USE)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.5  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 2  
INITIAL EFFECTIVE DATE: February 19, 2004 SUPERSEDING REVISION: 1  
Issued in compliance with Orders of the Public Service Commission dated  
December 19, 2003 in Case Nos. 98-M-1343, 99-M-0631, and 03-M-0017.

**GENERAL INFORMATION**

(RESERVED FOR FUTURE USE)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

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ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 2  
INITIAL EFFECTIVE DATE: February 19, 2004 SUPERSEDING REVISION: 1  
Issued in compliance with Orders of the Public Service Commission dated  
December 19, 2003 in Case Nos. 98-M-1343, 99-M-0631, and 03-M-0017.

**GENERAL INFORMATION**

(RESERVED FOR FUTURE USE)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.7  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 2  
INITIAL EFFECTIVE DATE: June 11, 2004 SUPERSEDING REVISION: 1  
Issued in compliance with Order in Case 02-M-0515, dated June 4, 2004

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER B

Applicable to Service Classification Nos. 1, 2, and 6

APPLICABLE TO USE OF SERVICE FOR:

Sales and delivery of gas by the Company or delivery of gas supply provided by a Qualified Seller under the Company's Gas Transportation Service Program where the gas service is used solely for the purpose of the operation of a Distributed Generation Facility. This Rider is available to Distributed Generation Facilities rated less than 50 MegaWatts. A separate meter will be installed for gas supplied under this Rider, and the use of gas measured by this meter for other than the purposes set forth above is expressly prohibited.

DEFINITIONS:

For the purposes of this Rider, the following definitions shall apply:

Annual Load Factor is defined as the customer's gas usage during the annual determination period divided by the product of the customer's Winter Peak Day Gas Usage and the number of days in the annual determination period.

Distributed Generation represents generating units designed to provide electricity to a single customer or specific customers within a defined geographical location.

Distributed Generation Facility is defined as one or more on-site Distributed Generation units (including combined heat and power applications).

Winter Peak Day Gas Usage and Average Daily Gas Usage are defined as follows. For customers with Distributed Generation Facilities of less than 5 MegaWatts, Winter Peak Day Gas Usage is the customer's highest Average Daily Gas Usage in the Winter Period (November through March) multiplied by a factor, determined by the Company, to reflect the relationship between experienced actual Winter Peak Day Gas Usage and the highest Winter Average Daily Gas Usage. Average Daily Gas Usage is defined as the customer's metered gas usage for the billing period divided by the number of days in the billing period. For customers with Distributed Generation Facilities 5 MegaWatts and above, Winter Peak Day Gas Usage is the customer's highest daily gas usage occurring during the Winter Period.

(Continued)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)



PSC NO. 4 GAS LEAF: 94.8  
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INITIAL EFFECTIVE DATE: January 1, 2004 SUPERSEDING REVISION: 0  
Issued in compliance with Order in Case 02-M-0515, dated December 3, 2003

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER B (Continued)

**ELIGIBILITY:**

Available to any commercial or industrial customer who is eligible to take service under Service Classification Nos. 1, 2, or 6 of this Rate Schedule, upon written application and acceptance by the Company, subject to the provisions of this Rider and the applicable provisions of the customer's otherwise applicable service classification. A customer's initial and continued eligibility for service hereunder is contingent upon the customer maintaining an Annual Load Factor of at least 50 percent for its gas use.

For purposes of determining a customer's initial eligibility for service hereunder, the customer shall provide the Company with a reasonable estimate of customer's Winter Peak Day Gas Usage and the customer's annual gas usage during the first year of operation of the customer's Distributed Generation Facility, with the first year commencing after a three-month start-up phase. Such estimate shall be submitted on a form provided by the Company that is completed and signed by a Professional Engineer retained by the customer. In the event a customer does not provide the Company with the required information to determine the customer's eligibility for service hereunder, the Company will attempt to estimate the customer's Annual Load Factor using the best available information. A customer may be denied service hereunder if the customer fails to supply the information required to determine initial eligibility for service hereunder.

The customer's Annual Load Factor shall be computed after the first fifteen monthly billing periods hereunder (based on the most recent 12 monthly billing periods) and annually thereafter for the purpose of determining continued eligibility.

A customer whose Annual Load Factor falls below 50 percent will become ineligible for service under this Rider for one year and will be notified in writing to this effect. Such notification will also stipulate that the customer must elect the otherwise applicable firm or interruptible service classification to be transferred to and billed under thereafter including the requirement that the customer notify the Company of such election within 15 days of receipt of such notification. Customers who fail to notify the Company of their election will be deemed to have elected to be served under their applicable firm service classification. In instances where the customer can demonstrate to the Company's satisfaction that the customer experienced conditions at its facility that prevented the customer from maintaining an Annual Load Factor of at least 50 percent and that such conditions were beyond the customer's control, the Company may, at its sole discretion, continue to allow the customer to take service under this Rider or to return to

(Continued)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER B (Continued)**

**ELIGIBILITY: (Continued)**

service under this Rider in less than one year. Such allowance will be contingent on the customer reasonably demonstrating to the Company's satisfaction that the condition(s) that prevented the customer from maintaining an Annual Load Factor of at least 50 percent has been corrected and/or is not likely to recur in the next annual determination period.

**RATE - MONTHLY:**

Customers served under Rate Schedule I or Rate Schedule II of this Rider will be subject to the higher of the Delivery Charges or the Monthly Minimum Charge determined in the manner set forth below.

(1) Delivery Charges

*Rate Schedule I* - Applicable to customers whose Distributed Generation Facility has a rated capacity of less than 5 MegaWatts.

*Rate IA* - Applicable to customers whose Distributed Generation Facility has a rated capacity of 0.25 MegaWatt or less.

<u>Usage Charge</u>	<u>Summer Months*</u>	<u>Winter Months*</u>
First 3 Ccf or less.....@	\$149.29	\$149.29
Over 3 Ccf.....@	24.142 ¢ per Ccf	29.968 ¢ per Ccf

*Rate IB* - Applicable to customers whose Distributed Generation Facility has a rated capacity greater than 0.25 MegaWatt but less than or equal to 1 MegaWatt.

<u>Usage Charge</u>	<u>Summer Months*</u>	<u>Winter Months*</u>
First 3 Ccf or less.....@	\$253.52	\$253.52
Over 3 Ccf.....@	24.142 ¢ per Ccf	29.968 ¢ per Ccf

\*Summer Months are April through October, inclusive; Winter Months are November through March, inclusive.

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER B (Continued)

RATE - MONTHLY: (Continued)

(1) Delivery Charges (Continued)

*Rate IC* - Applicable to customers whose Distributed Generation Facility has a rated capacity greater than 1 MegaWatt but less than or equal to 2 MegaWatts.

<u>Usage Charge</u>	<u>Summer Months*</u>	<u>Winter Months*</u>
First 3 Ccf or less.....@	\$385.91	\$385.91
Over 3 Ccf.....@	24.142 ¢ per Ccf	29.968 ¢ per Ccf

*Rate ID* - Applicable to customers whose Distributed Generation Facility has a rated capacity greater than 2 MegaWatts but less than 5 MegaWatts.

<u>Usage Charge</u>	<u>Summer Months*</u>	<u>Winter Months*</u>
First 3 Ccf or less.....@	\$490.14	\$490.14
Over 3 Ccf.....@	24.142 ¢ per Ccf	29.968 ¢ per Ccf

*Rate Schedule II* - Applicable to customers whose Distributed Generation Facility has a rated capacity of 5 MegaWatts or greater, but less than 50 MegaWatts.

<u>Usage Charge</u>	<u>Summer Months*</u>	<u>Winter Months*</u>
First 3 Ccf or less.....@	\$ 56.34	\$ 56.34
Over 3 Ccf.....@	4.828 ¢ per Ccf	5.995 ¢ per Ccf

Contract Demand Charge - per Ccf of contract demand, as described in the "Determination of Contract Demand" section of this Rider.

Contract Demand Ccf.....@ \$42.69 per Ccf

\*Summer Months are April through October, inclusive; Winter Months are November through March, inclusive.

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER B (Continued)

**RATE - FOUR PART - MONTHLY: (Continued)**

(2) Monthly Minimum Charge

Customers served under this Rider will be subject to a Monthly Minimum Charge, determined in the manner set forth below.

For customers served under Rate Schedule I of this Rider, the Monthly Minimum Charge shall be the charge for the first 3 Ccf of gas. For customers served under Rate Schedule II of this Rider, the Monthly Minimum Charge shall be (1) the charge for the first 3 Ccf of gas plus (2) the Contract Demand Charge.

(3) Monthly Gas Adjustment

The provisions of the Company's Monthly Gas Adjustment as described in General Information Section No. 12 shall apply to all gas delivered under this Rider, except that the Interim Back-out Lost Revenue Adjustment described in General Information Section 12.2 (F) shall not apply to Service Classification No. 6 customers taking service under Rate Schedule II of this Rider.

(4) Other Applicable Charges

In addition to the above Delivery Charge, Monthly Minimum Charge, and Monthly Gas Adjustment, the applicable rate and other provisions of the customer's otherwise applicable service classification shall apply to service rendered hereunder. The provisions of General Information Section 25, Revenue Decoupling Mechanism Adjustment, are not applicable to customers taking service under this Rider.

**TERM:**

Fifteen months from the date of commencement of service hereunder and successive annual periods thereafter; terminable by the customer or the Company at the end of each annual period upon not less than 30 days prior notice in writing to the other party, except that the 30 day notice period shall not apply in cases where the customer becomes ineligible for service hereunder for failure to maintain an Annual Load Factor of 50 percent or more. The Company may also terminate service in the manner provided by law and provisions of this Rate Schedule. In addition to all other remedies available to the Company, the Company reserves the right to discontinue service immediately, temporarily or permanently, to the customer or to the premises where there is a violation or any failure to comply with any of the provisions of this Rider, the Company's Gas Transportation Operating Procedures, or this Rate Schedule.

Issued By: William Longhi, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS  
ORANGE AND ROCKLAND UTILITIES, INC.  
INITIAL EFFECTIVE DATE: November 1, 2007

LEAF: 94.12  
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**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER B (Continued)**

**TERM: (Continued)**

An applicant will become eligible for service under this Rider on the first day of the next billing cycle following the Company's receipt of an acceptable application for service under this Rider and the completion of the installation of the required metering equipment including any customer wiring and telecommunications facilities. Except as provided under the Eligibility provision hereunder, customers whose annual load factor falls below fifty percent will no longer be eligible for this service. Such customers may reapply for service hereunder after twelve consecutive months.

**DETERMINATION OF CONTRACT DEMAND:**

The Contract Demand to be billed upon the commencement of service under Rate Schedule II of this Rider, expressed in Ccf, shall be the highest one-hour gas usage (hereinafter referred to as the "Peak Demand") expected during the first twelve months of full operation of the customer's Distributed Generation Facility, as specified in the customer's request for service hereunder. The customer shall provide the Company with a reasonable estimate of its Contract Demand at the time of application for service hereunder.

Such estimate shall be submitted on a form provided by the Company that is completed and signed by a Professional Engineer retained by the customer. If the customer fails to supply a Contract Demand for the initiation of service hereunder, the Company will establish the Contract demand using the best available information.

If the customer's Peak Demand occurring during any monthly billing period exceeds the initially established Contract Demand, such higher Peak Demand shall become the Contract Demand for that month and each month thereafter until exceeded by a still higher Peak Demand, which in turn shall likewise be subject to the foregoing conditions. At any time a customer may request, in writing, a prospective change in its Contract Demand, to a higher or lower level, subject to the same requirements for the initiation of service under this Rider. The customer's application must demonstrate, to the Company's satisfaction, that there have been permanent changes to the customer's gas usage due to physical changes in the customer's Distributed Generation Facility or changes in kind of

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Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER B (Continued)**

**DETERMINATION OF CONTRACT DEMAND: (Continued)**

business or activity conducted that will result in a permanent increase or decrease in the customer's Contract Demand. Changes in anticipated weather patterns shall not constitute permanent changes for purposes of a customer qualifying for an increase or decrease in Contract Demand.

**METERING AND COMMUNICATIONS EQUIPMENT:**

Customers taking service under Rate Schedule II will be responsible for the cost of the metering equipment and the installation and maintenance costs associated with such equipment required to provide service hereunder. The Company will furnish, install and maintain such metering equipment, except as indicated below. Customers taking service under Rate Schedule II will be required to pay for the capital cost of such metering equipment including the cost to install such equipment prior to the initiation of service hereunder. Rate Schedule II Customers will also be required to pay annually the actual costs incurred by the Company in maintaining such equipment.

Interval metering shall be required for all customers taking service under Rate Schedule II of this Rider. For customers billed under Rate Schedule II, the initiation of service under this Rider requires the establishment of a Contract Demand, determined in accordance with the section "DETERMINATION OF CONTRACT DEMAND".

Remote meter reading capability is required for all customers with interval metering. The customer shall be responsible for providing, installing and maintaining, at its expense, all communications to the meter.

In cases where the Company is unable to read the meter of a customer taking service under Rate II through a customer provided telephone line, and the Company has determined that the problem is not caused by the Company's meter or equipment, the customer will be assessed \$50.00 on each monthly cycle billing date until the condition is corrected. In addition, for each billing cycle the telephone line is not operational the Company shall make, and charge the customer for, an on-site meter reading in accordance with General Information Section 6.4 (3) Special Meter Reading of this Rate Schedule. If the Company is unable to obtain a meter reading, an estimated bill will be issued.

(Continued)

Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.14  
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Issued in compliance with Commission order in Case 08-G-1398, dated 10/16/09

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER B (Continued)

SPECIAL PROVISIONS:

- (A) If the existing service line and/or upstream distribution facilities are inadequate to supply service to a customer under this Rider, the customer shall be responsible for all incremental costs incurred by the Company to supply such service.
- (B) All customers taking service under this Rider shall be subject to the same procedures for the "Curtailment of Service" as set forth in General Information Section No. 11.1 (E) of this Rate Schedule applicable to other similarly situated firm commercial and industrial customers of this Rate Schedule.

Issued By: William Longhi, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.15  
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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER C

Applicable to Service Classification Nos. 1 and 6

APPLICABLE TO USE OF SERVICE FOR:

Sales and delivery of gas by the Company or delivery of gas supply provided by a Qualified Seller under the Company's Gas Transportation Service Program to residential customers who use gas to fuel on-site Distributed Generation Facilities, as defined below, and for such other purposes as would normally be applicable under Service Classification Nos. 1 and 6. This Rider is available to Distributed Generation Facilities that are not eligible for service under Rider B of this Rate Schedule.

DEFINITIONS:

For the purposes of this Rider, the following definitions shall apply:

Annual Load Factor is defined as the customer's total gas usage during the annual determination period divided by the product of the customer's Winter Peak Day Gas Usage and the number of days in the annual determination period.

Distributed Generation represents generating units designed to provide electricity to a single customer or specific customers within a defined geographical location.

Distributed Generation Facility is defined as one or more on-site Distributed Generation units (including combined heat and power applications).

Winter Peak Day Gas Usage and Average Daily Gas Usage are defined as follows. Winter Peak Day Gas Usage is the customer's highest Average Daily Gas Usage in the Winter Period (November through March) multiplied by a factor, determined by the Company, to reflect the relationship between experienced actual Winter Peak Day Gas Usage and the highest Winter Average Daily Gas Usage. Average Daily Gas Usage is defined as the customer's metered gas usage for the billing period divided by the number of days in the billing period.

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Issued By: John D. McMahon, President, Pearl River, New York  
(Name of Officer, Title, Address)



GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER C (Continued)

**ELIGIBILITY:**

Available to any residential customer who is eligible to take service under Service Classification Nos. 1 or 6 of this Rate Schedule, upon written application and acceptance by the Company, subject to the provisions of this Rider and the applicable provisions of the customer's otherwise applicable service classification.

Prior to the commencement of service hereunder, the customer shall provide the Company with a reasonable estimate of customer's Winter Peak Day Gas Usage and the customer's annual gas usage during the first year of operation of the customer's Distributed Generation Facility, with the first year commencing after a three-month start-up phase ("the first year"). In the event a customer does not provide the Company with the required information, the Company will attempt to estimate the customer's Annual Load Factor using the best available information.

The customer's Annual Load Factor shall be computed after the first fifteen monthly billing periods hereunder (based on the most recent 12 monthly billing periods) and annually thereafter for the purpose of data collection and reporting requirements of the Commission.

**RATE - MONTHLY:**

The rates and charges set forth below will apply to the customer's total monthly-metered gas usage.

(1) Delivery Charges

Usage Charge

First 3 Ccf or less.....@ \$35.59  
Over 3 Ccf.....@ 21.814 ¢ per Ccf

(2) Other Applicable Charges

In addition to the above Delivery Charges, the applicable rate and other provisions of the customer's otherwise applicable service classification shall apply to service rendered hereunder.

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ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 5  
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Issued in compliance with Commission order in Case 08-G-1398, dated 10/16/09

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER C (Continued)

**TERM:**

Fifteen months from the date of commencement of service hereunder and successive annual periods thereafter; terminable by the customer or the Company at the end of each annual period upon not less than 30 days prior notice in writing to the other party. The Company may also terminate service in the manner provided by law and provisions of this Rate Schedule. In addition to all other remedies available to the Company, the Company reserves the right to discontinue service immediately, temporarily or permanently, to the customer or to the premises where there is a violation or any failure to comply with any of the provisions of this Rider, the Company's Gas Transportation Operating Procedures, or this Rate Schedule.

An applicant will become eligible for service under this Rider on the first day of the next billing cycle following the Company's receipt of an acceptable application for service under this Rider.

**SPECIAL PROVISIONS:**

- (A) If the existing service line and/or upstream distribution facilities are inadequate to supply service to a customer under this Rider, the customer shall be responsible for all incremental costs incurred by the Company to supply such service.
- (B) All customers taking service under this Rider shall be subject to the same procedures for the "Curtailment of Service" as set forth in General Information Section No. 11.1 (E) of this Rate Schedule applicable to other similarly situated residential customers of this Rate Schedule.
- (C) The Customer shall provide the Company access to its premises, at all reasonable times, to verify and inspect the installation and operation of the customer's Distributed Generation Facility in accordance with General Information Section No. 6.1.

Issued By: William Longhi, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS LEAF: 94.18  
ORANGE AND ROCKLAND UTILITIES, INC. REVISION: 7  
INITIAL EFFECTIVE DATE: November 1, 2015 SUPERSEDING REVISION: 5  
Issued in compliance with Commission order in Case 14-G-0494, dated 10/16/15

**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER D**

**NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY LOAN INSTALLMENT PROGRAM**

**Applicable to Service Classification Nos. 1, 2, 5, 6, 8, and 9**

Pursuant to the Power New York ("PNY") Act of 2011 (L. 2011, c.388), the New York State Energy Research and Development Authority or its designated agent ("NYSERDA") will administer a loan program for qualifying residential and non-residential customers for the installation of qualified energy efficiency services (as that term is defined in subsection 1891(12) of the Public Authorities Law) on a customer's property. Beginning no later than May 30, 2012, installments for such loans will be shown on and collected through the customer's utility bill except as provided below. Customers shall repay the loan installment amounts on their utility cycle bills.

**ELIGIBILITY:**

As set forth in the PNY Act of 2011, the Company will bill and collect NYSERDA Loan Installment amounts on a customer's utility bill when notified by NYSERDA that these NYSERDA Loan Installments apply to the customer's utility account. Unless otherwise precluded by law, participation in the NYSERDA Loan Installment program shall not affect a customer's eligibility for any rebate or incentive offered by the Company. In order to comply with the requirements set forth in the PNY Act of 2011, the Company will provide NYSERDA, or its agents, certain customer information and take other actions for purposes of the NYSERDA Loan Installment Program.

Customers will be eligible on a first-come, first-served basis, provided that the number of customers taking service under this Rider does not exceed one-half of one percent of the total 2011 customer population as reported to the Commission for purposes of calculating the Company's complaint performance rate as of December 31, 2011.

**BILLING, COLLECTIONS, AND PAYMENT:**

Beginning no later than the second cycle bill after the Company receives from NYSERDA a valid customer account number, monthly NYSERDA loan installment amount, and number of loan installment amounts to be billed, each cycle bill issued to the customer shall include the monthly loan installment amount until the number of loan installments billed equals the number of loan installment amounts to be billed or the account is closed, whichever occurs first.

Issued By: Timothy Cawley, President, Pearl River, New York  
(Name of Officer, Title, Address)

PSC NO. 4 GAS  
ORANGE AND ROCKLAND UTILITIES, INC.  
INITIAL EFFECTIVE DATE: January 1, 2012

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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER D

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY LOAN INSTALLMENT PROGRAM (Continued)

**BILLING, COLLECTIONS, AND PAYMENT: (Continued)**

The customer will be required to pay to the Company NYSERDA loan installment amounts when bills are due. The rights and responsibilities of residential customers participating in the NYSERDA Loan Installment Program are governed by the provisions of Article 2 of the Public Service Law. Unpaid loan installment amounts will be subject to the provisions of this Rate Schedule regarding:

- (a) charges for late payment, collection, reconnection, and dishonored checks,
- (b) deferred payment agreements, and
- (c) termination/disconnection and reconnection of service.

Occupants of a multiple dwelling or two-family dwelling who pay utility charges in order to avoid termination of service or to restore service that was terminated to the entire dwelling, pursuant to Public Service Law Sections 33 and 34 and 16 NYCRR 11.7 or 16 NYCRR 11.8, shall not be required to assume the NYSERDA Loan Installment amounts and such arrears and/or prospective amounts shall remain the responsibility of the incurring customer.

NYSERDA loan installment amounts will not be subject to the Increase in Rates and Charges pursuant to General Information Section 15.

A customer remitting less than the total amount due on a utility bill that includes a NYSERDA loan installment amount shall have such partial payment first applied as payment for electric and/or gas charges. If there are monies remaining after application to the Company's electric and/or gas charges, any remaining amount will be applied to loan installment amounts.

A customer remitting more than the total amount due on a utility bill that includes a loan installment amount shall have the overpayment applied first to subsequently billed electric and/or gas charges and then to NYSERDA loan installment amounts as they are billed. The Company will not apply customer overpayments as a prepayment of NYSERDA loan installment amounts or as full repayment of the loan. Customers wishing to make loan prepayments or satisfy the balance of the loan amount outstanding must arrange directly with NYSERDA for such payments.

The Company will not provide interest on overpayments of NYSERDA loan installment amounts.

Issued By: William Longhi, President, Pearl River, New York  
(Name of Officer, Title, Address)

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**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER D**

**NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY LOAN INSTALLMENT PROGRAM (Continued)**

**TERM:**

NYSERDA will advise the Company of the number of the NYSERDA loan installment amounts to be paid at the premises where the energy efficiency measures are installed. The responsibility of the Company is limited to providing billing and collection services for NYSERDA. Such billing and collection services shall be available regardless of whether the electricity or natural gas delivered by the Company is the customer's primary energy source. The NYSERDA loan obligation shall survive changes in ownership, tenancy and meter account responsibility unless fully satisfied. In the event the NYSERDA loan is not satisfied when a customer's account is closed and NYSERDA notifies the Company to bill loan installment amounts to a successor customer, such successor customer will be subject to all terms and conditions of this Rider.

Only one NYSERDA loan installment obligation can exist on a customer's utility account. Should a customer enter into an additional loan agreement with NYSERDA, NYSERDA will consolidate the loans and notify the Company of the new NYSERDA monthly loan installment amount and number of installment amounts to be paid.

When an account with a NYSERDA loan is closed, loan installment amounts that were billed but unpaid will be transferred to the customer's new account established with the Company, provided, however, that if the customer does not establish a new account with the Company forty-five (45) days after the account is closed, the Company will cease its collection activity for the NYSERDA loan installment arrears and advise NYSERDA so it can pursue collection of the outstanding billed amount(s).

**ACCOUNT INFORMATION:**

In order to comply with the requirements set forth in the PNY Act of 2011, the Company will provide NYSERDA with account closure information and successor customer information, including customer name, utility account number, loan number, mailing address and service address. Such information, as applicable, will also be provided to NYSERDA for new loans.

Where there is an outstanding NYSERDA loan obligation, each successor customer is deemed to have consented to the Company's disclosure to NYSERDA of the above customer information.

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(Name of Officer, Title, Address)

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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER D

NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY LOAN INSTALLMENT PROGRAM (Continued)

**CUSTOMER QUESTIONS AND BILLING DISPUTES:**

Questions related to the NYSERDA Program and complaints relating to the Company's billing of NYSERDA loan installment amounts shall be directed to NYSERDA. At least annually, the Company will provide customers participating in the NYSERDA Loan Installment Program the following information in the customer's utility bill: (a) the amount and duration of remaining monthly payments under the NYSERDA Loan Installment Program; and (b) NYSERDA's contact information and procedures for resolving customer complaints regarding the NYSERDA Loan Installment Program.

Issued By: William Longhi, President, Pearl River, New York  
(Name of Officer, Title, Address)

GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER E

EXCELSIOR JOBS PROGRAM

Applicable to customers taking service under Service Classification No. 2 and Service Classification No. 6 Rate Schedules IB and II and meeting the requirements of this Rider. Customers who qualify for tax credits pursuant to the Excelsior Jobs Program ("EJP") Act (L. 2011, c. 61) may receive EJP discounts as described hereunder.

**DEFINITIONS:**

- (A) Baseline Billing Determinants in Ccf, shall be the usage established for Existing Customers and shall be determined based on the twelve monthly billing periods immediately preceding the Company's receipt of the customer's Initial Certification. For heating customers the gas usage will be adjusted for normal weather conditions. The Company may estimate or adjust the Baseline Billing Determinants if sufficient billing information does not exist, or if the Company determines the billing history is not representative of usage characteristics of the customer. The Baseline Billing Determinants that are established per month will remain fixed for the entire EJP term.
- (B) Incremental Billing Determinants shall mean: (a) an Existing Customer's monthly Ccf usage (weather adjusted for heating customers) in excess of the Existing Customer's Baseline Billing Determinants; or (b) a New Customer's monthly Ccf usage. The Incremental Billing Determinants will be the basis for the usage subject to the EJP discounts under this Rider.
- (C) Certificate of Eligibility means the document, as defined in the EJP Act, issued by Empire State Development ("ESD") to a customer or applicant that has been accepted into the EJP for its location. Possession of a Certificate of Eligibility does not by itself guarantee eligibility to claim benefits under EJP.
- (D) Certificate of Tax Credit means the document, as defined in the EJP Act, issued to an EJP participant by ESD that entitles the participant to claim a tax credit and discounted delivery rates. The certificate specifies the taxable year in which such credit may be claimed. The Certificate of Tax Credit shall be issued annually only after ESD has verified that the participant has met all applicable eligibility criteria.

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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER E

EXCELSIOR JOBS PROGRAM (Continued)

**DEFINITIONS: (Continued)**

- (E) New Customer for the purpose of this Rider, shall mean a customer that commenced receiving service at the premises within twelve months of receiving its Certificate of Eligibility and can demonstrate that: (a) its activities are largely or entirely different in nature from that of the previous customer at that location; (b) if the activities are not so different, the owner(s), operator(s) and manager(s) are substantially different; or (c) business has not been conducted at the premises for at least two monthly billing periods prior to the customer's receipt of a Certificate of Eligibility.
- (F) Existing Customer for the purpose of this Rider, shall mean a customer who is not a New Customer.

**ELIGIBILITY:**

To receive service under this Rider, the customer must be directly metered by the Company and the Company must receive the following certifications.

- (A) Initial Certification  
Within 60 days of receiving a Certificate of Eligibility for EJP tax credits from ESD, the customer must provide a copy of the certificate and applicable account information to the Company.

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(Name of Officer, Title, Address)



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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER E

EXCELSIOR JOBS PROGRAM (Continued)

**ELIGIBILITY: (Continued)**

(B) Annual Certification

After the Initial Certification, the customer will be eligible for the reduction in delivery charges specified under this Rider for up to ten consecutive years, provided: (1) the customer submits to the Company, each year, a copy of the Certificate of Tax Credit ("Tax Certificate") issued by ESD for the prior tax year; or (2) ESD certifies to the Company that ESD issued a Tax Certificate to the customer ("Tax Certification") for the prior tax year.

The delivery rate reductions specified hereunder will be applied for the 12 consecutive monthly billing periods commencing with the first monthly billing period having a "from" date on or after the Company's receipt of the initial Tax Certificate or Tax Certification. On the first anniversary of the commencement of delivery rate reductions, and each anniversary thereafter, delivery rate reductions will commence for another 12 monthly billing periods if the Company receives a new Tax Certificate or Tax Certification on or before the anniversary. If a new Tax Certificate or Tax Certification is not received by the anniversary and the customer received delivery rate reductions in the billing period that ended on the anniversary, the Company will continue to apply the delivery rate reductions for up to an additional three monthly billing periods ("Grace Period") to allow time for the Company to receive either a new Tax Certificate or Tax Certification. If a new Tax Certificate or Tax Certification is received during the Grace Period, any rate reductions applied during the Grace Period will be counted toward the 12 monthly billing periods that commenced on the anniversary. If a new Tax Certificate or Tax Certification is not received by the end of the Grace Period, the rate reductions will cease at the end of the Grace Period. If a new Tax Certificate or Tax Certification is received after the end of the Grace Period, the rate reductions will be applied prospectively for the remaining billing periods of the 12 monthly billing periods that commenced on the anniversary.

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(Name of Officer, Title, Address)

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**GENERAL INFORMATION**

**SERVICE CLASSIFICATION RIDERS:**

**RIDER E**

**EXCELSIOR JOBS PROGRAM (Continued)**

**ELIGIBILITY: (Continued)**

(B) Annual Certification (Continued)

Should there be a gap of one or more years before the Company receives a new Tax Certificate or Tax Certification, the customer will be eligible for delivery rate reductions for (a) the twelve monthly billing periods that commence on the current year's anniversary, if the Company receives the new Tax Certificate or Tax Certification on or before the anniversary, or (b) the remaining billing periods of the twelve monthly billing periods that commenced on the current year's anniversary, if the new Tax Certificate or Tax Certification is received after the anniversary.

**RESTRICTIONS AS TO ELIGIBILITY:**

Service under this Rider shall not be available to customers taking service under Rider B, Gas Rates for Commercial and Industrial Distributed Generation Facilities.

**RATES:**

Upon Annual Certification, discounts under this Rider shall be applied only to the Incremental Billing Determinants for: (a) delivery charges as defined in Service Classification No. 2; and (b) transportation charges as defined in Service Classification No. 6, Rate Schedules IB and II as applicable. Any discounts provided in this Rider shall not apply to the Baseline Billing Determinants.

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(Name of Officer, Title, Address)

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GENERAL INFORMATION

SERVICE CLASSIFICATION RIDERS:

RIDER E

EXCELSIOR JOBS PROGRAM (Continued)

**RATES: (Continued)**

For purposes of this Rider, percentage reductions will be applied to monthly Service Classification No. 2 and Service Classification No. 6 Rate Schedule IB and II delivery charges, before application of the Increase in Rates and Charges (described in General Information Section No. 16).

Incremental Billing Determinants for EJP customers are not subject to the Revenue Decoupling Mechanism Adjustment (described in General Information Section No. 25).

The Company will bill the EJP customer based on the lower results of using the discounts below or the standard rates that would otherwise be applicable notwithstanding participation in EJP. For customers who commenced service under Rider E prior to November 1, 2015, the EJP discount is 0%. For customers commencing service under Rider E on or after November 1, 2015, the EJP discount is 13.4%

To the extent that marginal delivery costs change over time, the Company may file amended discounts with the Commission for its review and approval.

**TERM:**

Customers will be eligible for EJP rates specified under this Rider for up to ten consecutive twelve month periods. Customers who discontinue service under this Rider to commence service under Rider B will not be eligible thereafter to receive service under this Rider.

Issued By: Timothy Cawley, President, Pearl River, New York  
(Name of Officer, Title, Address)